CERT-RMM Specialization

Definitions

"SEI-Certified CERT-RMM Lead Appraiser" means a person who (i) has earned a certification credentials by successfully completing the applicable SEI certification process described at http://www.sei.cmu.edu/certification/, (ii) has met all ongoing requirements to maintain such certification, including the payment of any and all fees due for such certification, and (iii) is sponsored by an SEI Partner for the CERT-RMM specialization.

CERT-RMM Services and Materials

SEI Partner may alter the materials for CERT-RMM appraisals only to make minor grammatical corrections or to change spelling to accommodate regional style (such as changing "center" to "centre"). Except for such minor grammatical corrections and regional style accommodations, no other changes are allowed without prior written consent from the SEI.

SEI Partner may make hard copies of the CERT-RMM appraisal services materials needed to conduct the appraisal. SEI Partner shall not provide any electronic materials to its clients or customers. SEI Partner may engage a third party to reproduce such materials as long as (i) the number of copies produced is limited to one copy of the materials per Appraisal Participant, and (ii) any copies produced are distributed exclusively to Appraisal Participants as permitted under the CERT-RMM specialization.

Materials and Use Restrictions

<table>
<thead>
<tr>
<th>CERT-RMM Specialization Materials</th>
<th>Materials may be copied and distributed to Appraisal team members</th>
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</thead>
<tbody>
<tr>
<td>CERT-RMM Lead Appraiser Services Kit</td>
<td>Only the following hard-copy materials may be copied and distributed to Appraisal Team Members: Opening Brief template Final Findings template</td>
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Data Submission Requirements

SEI Partners must follow the CERT-RMM Data Submission procedures provided in the download files on the Partner Resource Center at https://partner-resources.sei.cmu.edu/.

Quality Assurance

The SEI may perform random reviews of SEI Partner-delivered services at the discretion of the SEI. The SEI shall notify the SEI Partner if an on-site review will be performed and schedule with the SEI Partner a time for such review to occur. SEI Partner shall not be responsible for any expenses incurred by SEI in performing any review. After an on-site review, the SEI shall provide a written evaluation to SEI Partner, which may include recommended actions and mitigation plan. The SEI may perform subsequent random reviews in its discretion.

The SEI may provide an action and mitigation plan for SEI Partner’s SEI-Certified CERT-RMM Lead Appraisers. If the action and mitigation plan indicates that an additional SEI simulation is required, the SEI Partner is responsible for any expenses incurred by SEI performing such simulation.