



## Research Review 2021

# Train, but Verify: Towards practical AI robustness

September 2021

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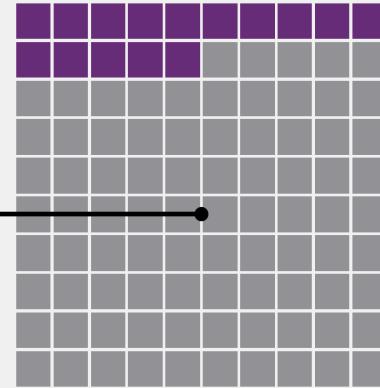
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DM21-0869

# What is AI Engineering?



85%  
of AI deployments *will fail*  
through 2022.<sup>1</sup>

[1] Gartner. "Gartner Says Nearly Half of CIOs Are Planning to Deploy Artificial Intelligence." 2018.

## AI Engineering:

- field of research and practice
- integrates software engineering, systems, CS, and human-centered design
- builds AI responsive to human needs and mission outcomes.

## Human-centered



Works with and for people

## Scalable



Size, speed, & complexity of mission needs

## Robust and Secure



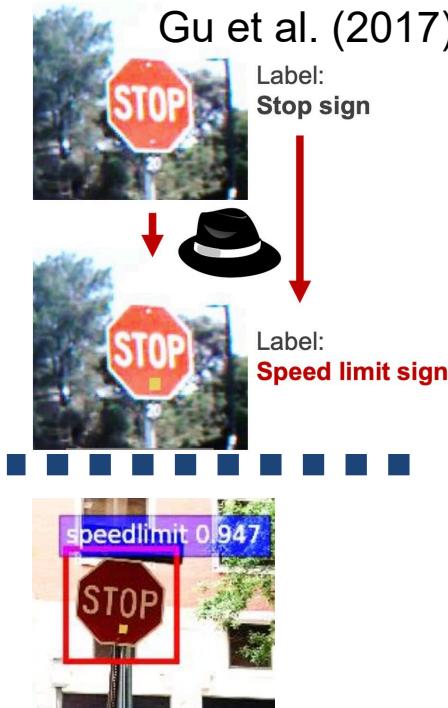
Reliable when under uncertainty or **threat**

<https://www.sei.cmu.edu/our-work/artificial-intelligence-engineering/>

# Beieler (2018): An adversary can make an ML component...

## Learn the Wrong Thing

Gu et al. (2017)



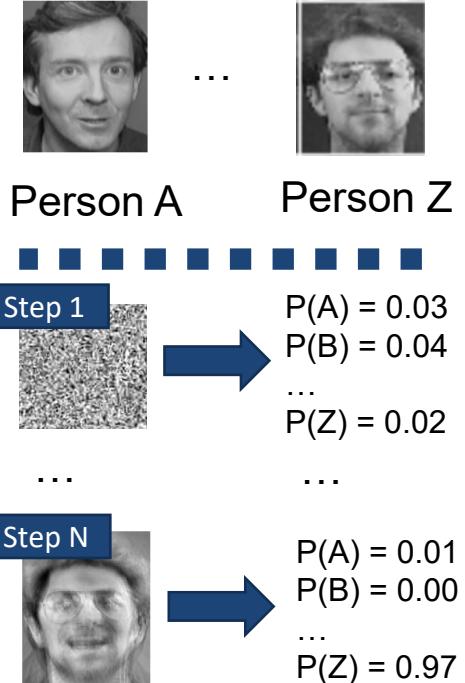
## Do the Wrong Thing

Sharif et al. (2016)



## Reveal the Wrong Thing

Fredrickson et al. (2016)



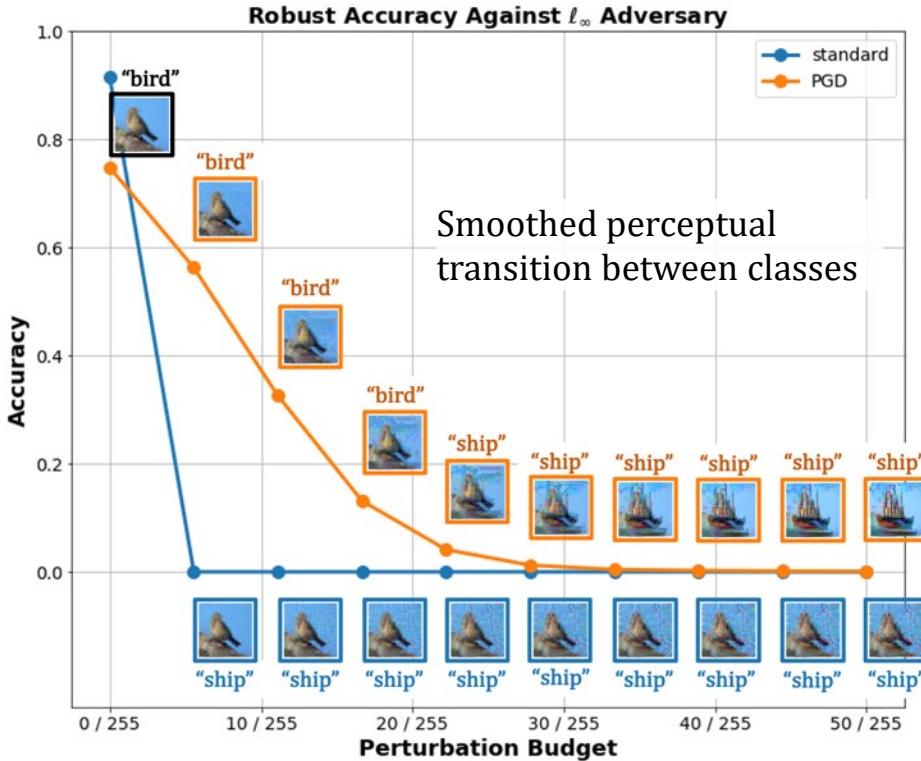
# Train, but Verify

Train \ Verify	Verify “learn” policy	Verify “do” policy	Verify “reveal” policy
Train to enforce “learn” policy	IARPA TroJAI DARPA GARD		
Train to enforce “do” policy		DARPA GARD	?
Train to enforce “reveal” policy			NGA GURU

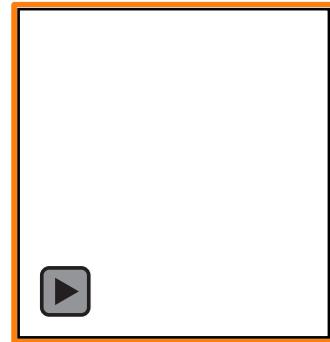
## Problem:

- AI promises capability for the DoD, but today is untrustworthy.
- Most defensive work focuses on one security policy, but the DoD has wider concerns.
  - What if a system makes high stakes decisions (do policy) and is trained on sensitive data (reveal policy)?

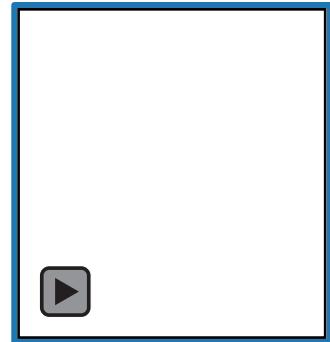
# Defenses for do policies reveal information about the data



Examples extracted from defended model



Examples extracted from undefended model



[ Helland et al. 2020 – On The Human Recognizability Phenomenon of Adversarially Trained Deep Image Classifiers ]

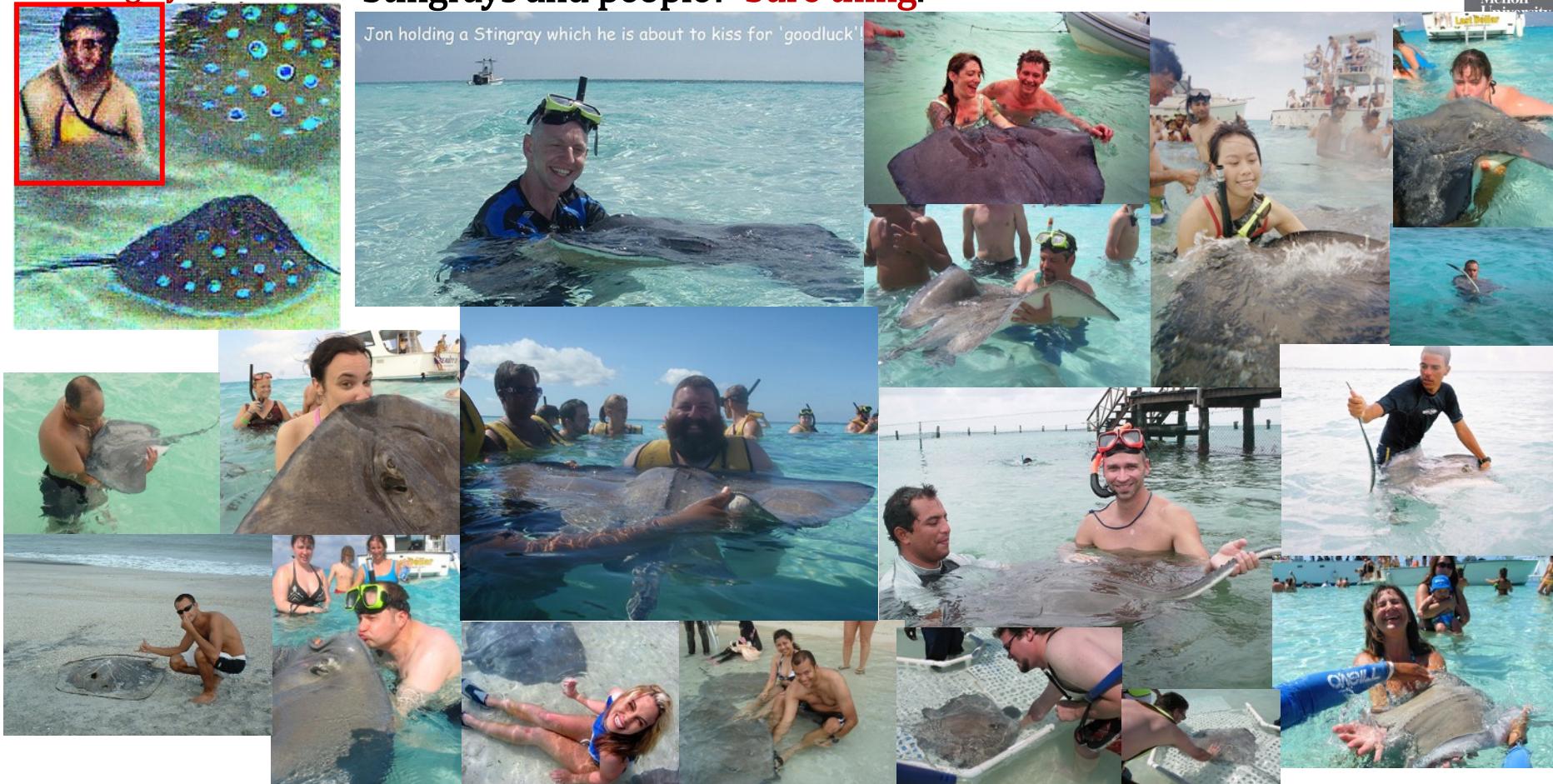
Model: [ Engstrom 2019 – Robustness (Python Library) ]

stingray 0.9920



# Stingrays and people? Sure thing.

Jon holding a Stingray which he is about to kiss for 'goodluck'!



Images from [ Deng et al. 2009 - ImageNet A Large-Scale Hierarchical Image Database ]

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# Purple cauliflower? You bet.



Images from [ Deng et al. 2009 – ImageNet A Large-Scale Hierarchical Image Database ]

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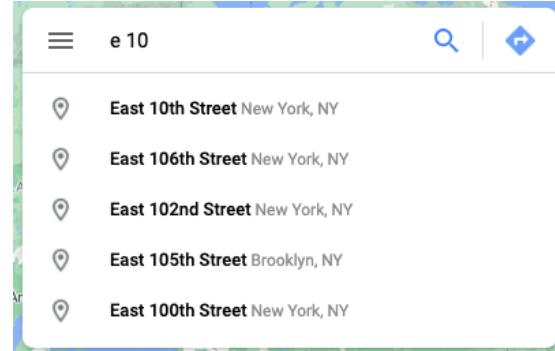
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# Let's look at the **street sign** class

E 10?



Google Maps



Google Maps Street View



Does my dataset contain photographs in **New York**?

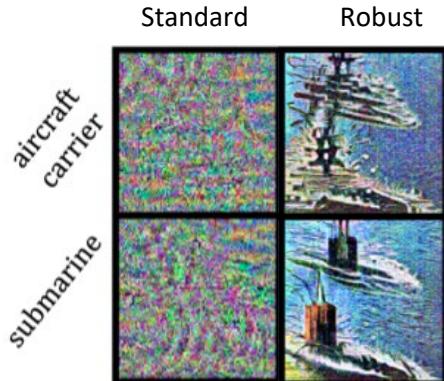
Yes, quite  
a few.



# Secure AI Engineering for DoD defends from multiple attacks

State-of-the-art methods to enforce “doing the right thing” can leak information about the training data.

Adversarial examples are recognizable in defended models

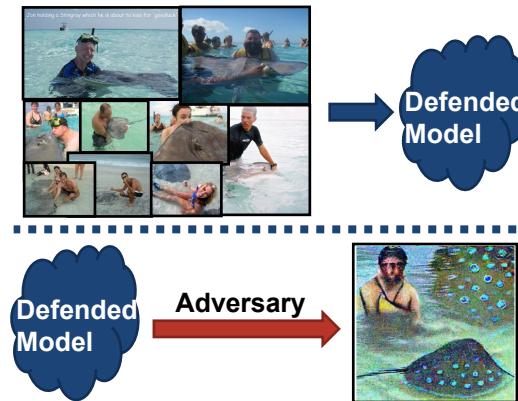


Helland and VanHoudnos (2020)

A defended model may (unintentionally) reveal critical information about the data.

What if we wanted to keep confidential that we trained on personal photos?

ImageNet (Deng et al. 2009)



Organizations with high stakes systems trained on sensitive data need new defense methods.

The Train, but Verify grid

Train \ Verify	Learned correctly	Did correctly	No Revealed secrets
To Learn correctly			
To Do correctly			Goal Satisfy both Do and Reveal
To not Reveal secrets			

# Train, but Verify: FY2021 Goals & Milestones

DoD needs secure AI across multiple policies.

Verify Train	Learned correctly	Did correctly	No Revealed secrets
To Learn correctly			
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**Impact:** Allow for use of sensitive data in high stakes environments.

Quantify attacks to reveal policies.

- [Under Review]: Property Inference Attacks in Robust and Private Models

Develop new methods for do defenses and do attacks.

- [Under Review]: Self-Repairing Neural Networks
- [Under Review]: Constrained Gradient Descent: Strong Attacks Against Neural Networks

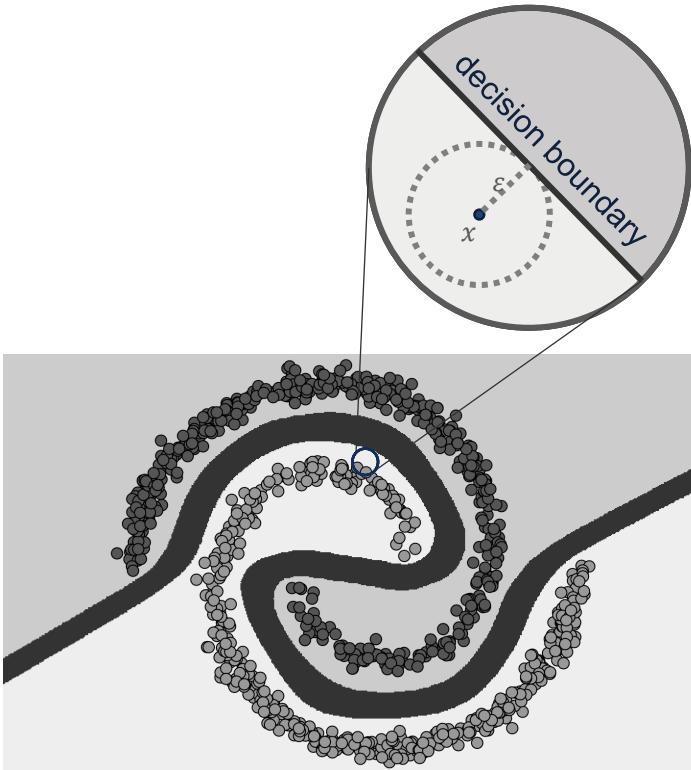
Develop new methods to verify do policies

- **ICML '21: Globally-Robust Neural Networks**
- [Under Review]: Relaxing Local Robustness
- ICLR '21: Fast Geometric Projections for Local Robustness Certification

Release AI Engineering tools

- **Juneberry 0.5 released to GitHub**

# ICML '21: Globally-Robust Neural Networks (GloRo Nets)



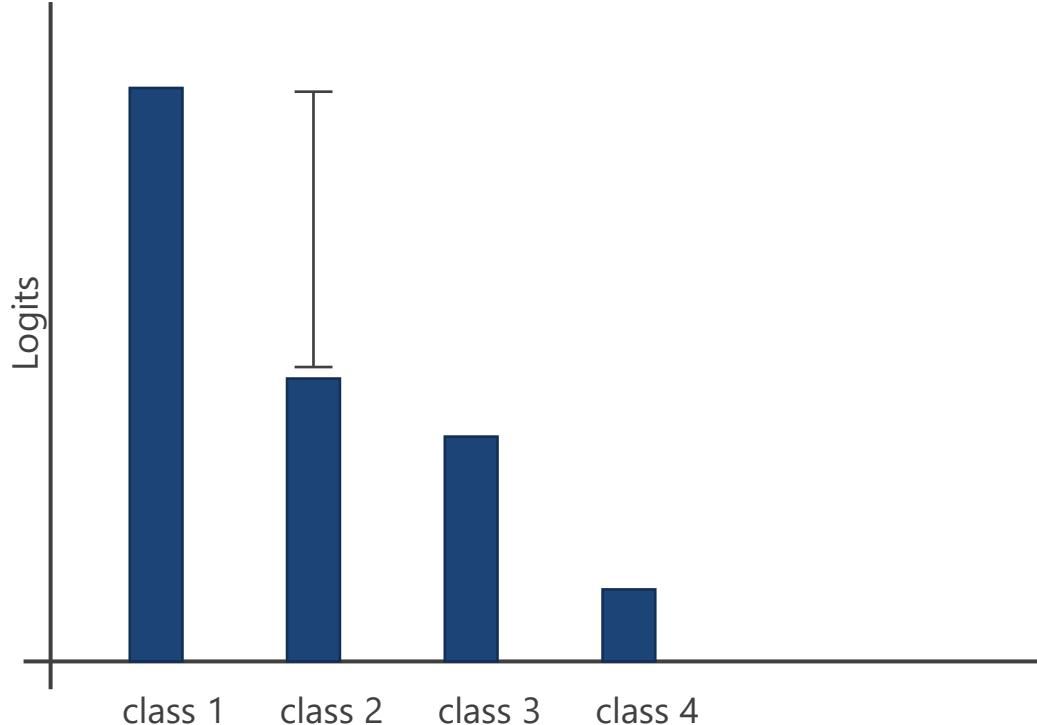
A model  $F$  satisfies ***local robustness*** with robustness radius  $\varepsilon$  on a point  $x$  if

$$\forall x': \|x - x'\|_p \leq \varepsilon \implies F(x) = F(x')$$

A model  $F$  satisfies ***global robustness*** with robustness radius  $\varepsilon$  if  $\forall x$

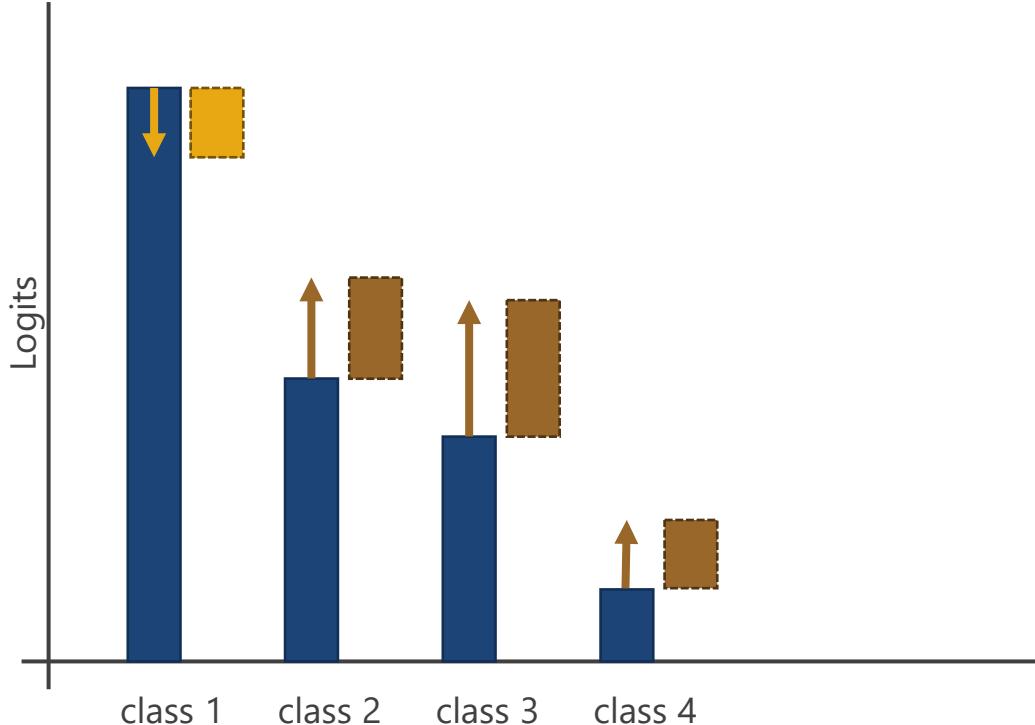
- $F$  is  $(\varepsilon/2)$ -locally robust at  $x$  or
- $F(x) = \perp$  i.e. “No comment”

# GloRo Nets: Intuition



If this margin is sufficiently large, a small change to the input will not allow class 2 to surpass class 1

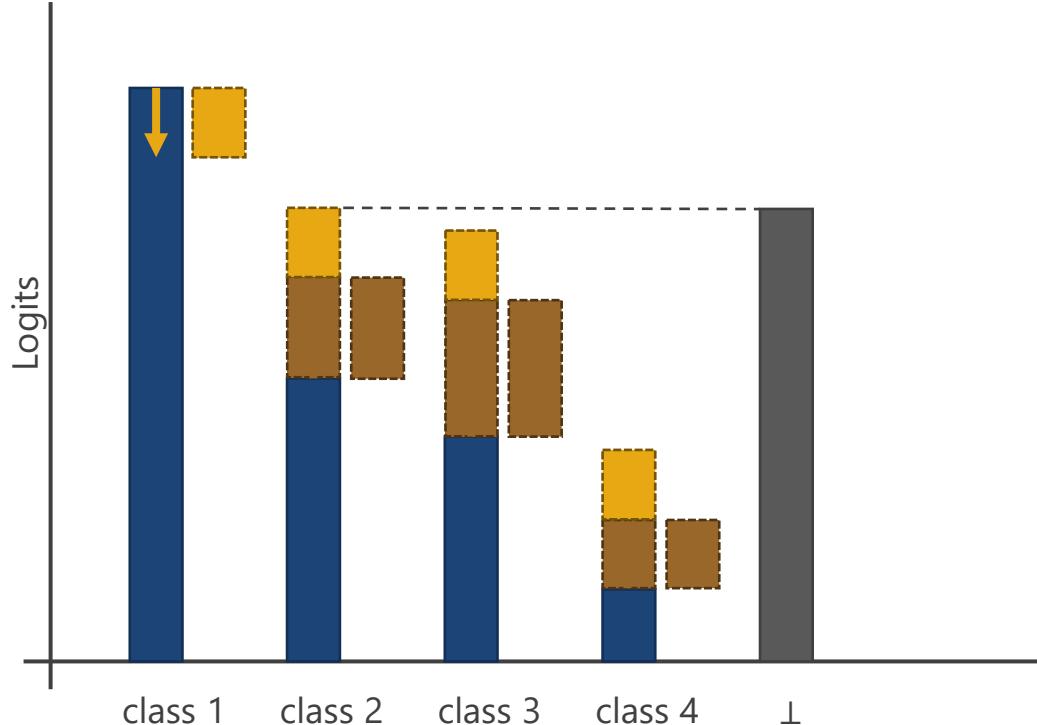
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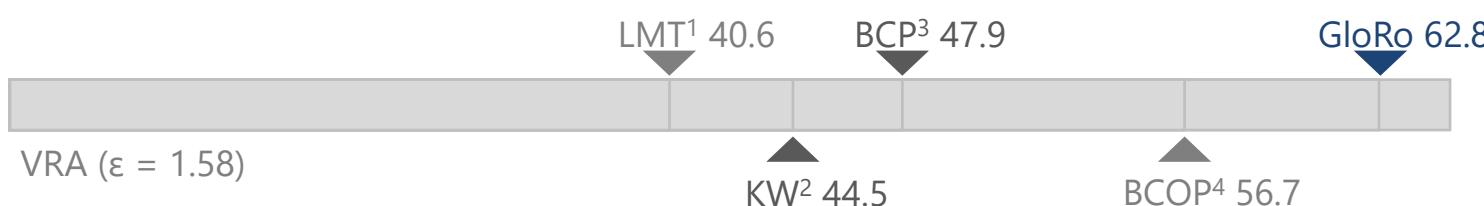
The *Lipschitz constant* tells us how much each class can change with a small change to the input in the worst case

We add a new class,  $\perp$ , which reflects the highest score an adversary can get relative to the top class

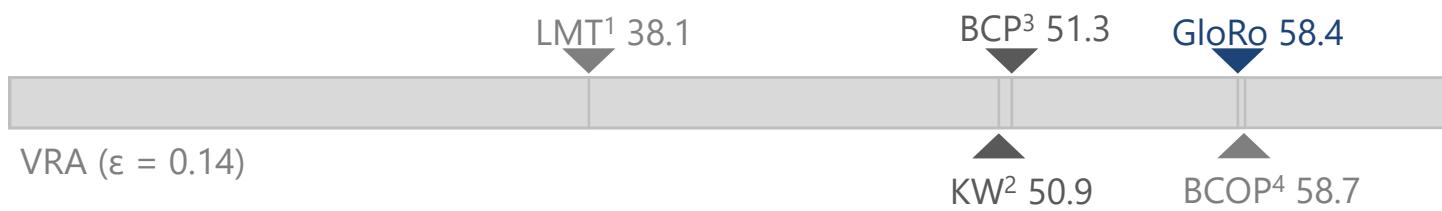
# GloRo Nets: Results

GloRo Nets match or exceed VRA of previous state-of-the art deterministic certification methods

MNIST



CIFAR-10



<sup>1</sup>Tsuzuku et al., 2018; <sup>2</sup>Wong & Kolter, 2018; <sup>3</sup>Lee et al., 2020; <sup>4</sup>Li et al., 2019

# GloRo Nets: Performance

GloRo Net certification and training is significantly more time and memory efficient than other methods, and more scalable than any other deterministic method

CIFAR-10	method	time to certify test set (s)	memory per instance (MB)
	<b>GloRo</b>	<b>0.4</b>	<b>1.8</b>
	KW <sup>1</sup>	2,500.0	1,400.0
	BCP <sup>2</sup>	5.8	19.1
	RS <sup>3</sup>	36,800.0	19.8

<sup>1</sup>Tsuzuku et al., 2018; <sup>2</sup>Wong & Kolter, 2018; <sup>3</sup>Lee et al., 2020; <sup>4</sup>Li et al., 2019

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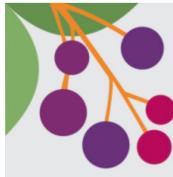
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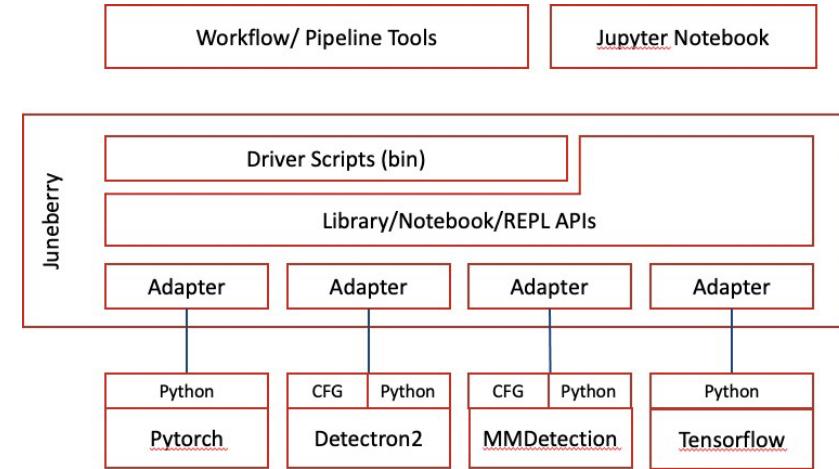
# Juneberry: A tool for Robust & Secure AI Engineering



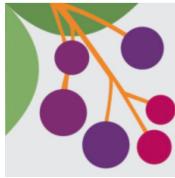
## Juneberry

<https://github.com/cmu-sei/Juneberry>

- provides a framework for reproducible ML research
- improves the experience of machine learning experimentation
- is extensible for a variety of ML tasks
  - classification (v. 0.2)
  - object detection (v. 0.4)
  - differential privacy (v. 0.4)
  - certified robustness (v. 0.5)



# Juneberry: A tool for Robust & Secure AI Engineering



## Juneberry

<https://github.com/cmu-sei/Juneberry>

Vignette: Replicating a Classic Machine Learning Result with Juneberry

- Load data (data config)
- Wrap a model (model factory)
- Replicate a training strategy (model config)
- Train a model (jb\_train)
- Evaluate a model (jb\_evaluate)
- Replicate a results table (experiment outline)
- Execute an experiment (jb\_run\_experiment)
- Compare results with the published results

Additional vignettes (certified robustness, object detection, ...) coming soon!

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## FY 2021:

- Quantify attacks to reveal policies.
- Develop new methods for do defenses and do attacks.
- Develop new methods to verify do policies
- Release AI Engineering tools

## FY 2022:

- Develop training methods for **do & reveal** that either
  - enforce both
  - trade between them
- Release AI Engineering tools

# Train, but Verify: Towards Practical AI Robustness

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Co-PI

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Associate Professor  
Co-PI

**Bryan Parno**

Associate Professor  
Co-PI

**Rob Beveridge**

Technical Manager

**Matthew Churilla**

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Engineer

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Senior Engineer

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Manager

**Violet Turri**

Assistant Software  
Developer

**Annika Horgan**

Associate Software  
Developer

**Weiran Lin**

PhD Student

**Kevin Li**

Masters Student

**Jon Helland**

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**Nick Winski**

Software Developer.

**Klas Leino**

PhD Student

**Clement Fung**

PhD Student